

PROFILE

**Practices**

Broker Dealer and Market Regulation

Admitted

New York

Education

- J.D., American University, Washington College of Law, 1998
- B.A., Dickinson College, 1994

Julian Rainero

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Experience

Julian Rainero is the head of the firm's broker-dealer and market regulation practice. Mr. Rainero advises broker-dealers and alternative trading systems on compliance with SEC, self-regulatory organization (SRO) and Federal Reserve Board rules. His practice involves all aspects of broker-dealer regulation, with particular concentration in correspondent clearing, prime brokerage, net capital, margin, securities lending, customer protection and NYSE and NASDAQ trading practices. Mr. Rainero is a member of the NASDAQ market operations review committee and serves on the best-execution committees of several major broker-dealers.

Mr. Rainero represents clients in responses to examination findings and enforcement proceedings. He also provides legal counsel to broker-dealers, hedge funds and other financial institutions in capital markets transactions, including acquisitions, credit transactions collateralized by securities, structured finance transactions, hedging of private investments in public equities, and transactions subject to Regulation M.

Noteworthy

Chambers USA: America's Leading Lawyers for Business, Financial Services Regulation, 2008-2010